



# IFS ONBOARDING

## AUTOMATE ACCOUNT OPENING AND STREAMLINE THE INITIAL CUSTOMER EXPERIENCE.

The account opening process can be complex, especially for clients with growing financial needs. With IFS Onboarding, you can find simplicity in opening accounts for a single client or household with different types of investment products, including advisory and products supported by direct sponsors, such as direct mutual funds, annuities, and alternative investments. IFS Onboarding provides an end-to-end experience that enforces compliance with firm and industry requirements and policies, integrates seamlessly with multiple systems, and creates a single digital document package for all opened accounts that can easily be signed electronically or downloaded. IFS Onboarding offers the ability to establish standing banking instructions, as well as funding new accounts with an ACH money movement or Security Transfer of any variety; ACAT, non-ACAT, Transfer Agent, Direct Mutual Fund, and Banking CD products.

### FEATURES:

- Flexibility to support a single client or a full household; open a single account or multiple accounts.
- Supports multiple products (Brokerage, Advisory, Annuity, Alternative Investments, and Direct Mutual Funds)
- Supports multiple Custodians (Schwab, TDA, Fidelity IWS, Pershing PAS) and Clearing Firms (Fidelity NFS, Pershing Clearing) as well as self-clearing platforms (Sungard)
- Supports 50+ registration types.
- Enforces the right account roles required for each registration type for each Custodian or system of record.
- Enforces regulatory compliance (CARES ACT, Patriot Act, Reg BI, KYC) as well as firm policies and procedures.
- Set up standing banking instructions and fund the account(s) with ACH money movement or Security Transfers as part of the integrated process.
- Incorporates documents for all products, including direct sponsor forms and advisory documents.
- Funding transactions leverage core business logic from IFS Asset Movement.
- Maintain a certified business process with the IFS “out of the box” Solution Suite.

### BENEFITS:

- Comprehensive experience for the advisor and client from initial data gathering to documents and account funding.
- Using IFS configuration settings to control the key process, the IFS “out of the box” Solution Suite allows you to start opening accounts in a few months.
- Ability to layer on additional firm specific integrations and features without impacting the IFS Solution Suite.
- IFS Solution Suite is QA certified, reducing your cost of ownership.
- Funding rules and flows are consistent between funding a new account and subsequent IFS Asset Movement requests because they leverage the same IFS Solution Suite components.
- Migrate your business to a paperless environment.

