

SEAMLESS PAPERWORK FOR A SMOOTH TRANSITION

USE CASE: AlphaTrust® for Transitions

AlphaTrust® for Transitions makes broker-dealer transitions easy and efficient. Our solution takes the complexity from “repapering” by offering comprehensive visibility and control, allowing advisors to effortlessly prepare and upload data for batch processing and e-signature execution. With AlphaTrust Transitions, 100% of required forms are pre-filled and routed for e-signature in a single integrated system.

Advisors benefit from precise, pre-filled forms, while the home office can track the progress of transition documents in real time. Our all-in-one, high-speed system accelerates transitions, boosts accuracy, and enhances client satisfaction.

TRANSITION STEPS:

1. Broker-dealer defines the workflows
2. AlphaTrust dynamically generates a setup sheet for prefilling customer data
3. The advisor's office populates the customer data sheet with the necessary data
4. Upon affiliation, the Advisor uploads the customer data sheet
5. AlphaTrust Transitions manages the batch processing and e-signature

Experience a faster, more accurate, and seamless transition process with AlphaTrust Transitions.



DATA TEMPLATE
PREFILL



DATA UPLOAD



BATCH PREFILL



E-SIGN AND WORKFLOW
MANAGEMENT



STATUS MONITORING
AND REPORTING

FEATURES

- ✓ Automatically prefills 100% of required forms
- ✓ Prefill and create e-Signable forms in a single batch process
- ✓ Easily update forms with self-service capabilities
- ✓ Fully configurable broker-dealer approval workflows
- ✓ Monitor and report on transition status in real-time
- ✓ Integrated e-Forms and e-Signature functionality

BENEFITS

- ✓ Clients can effortlessly e-Sign complete document bundles
- ✓ Simultaneously process all forms, saving time and effort
- ✓ Empowers broker-dealers to manage their own forms updates with self-service capabilities
- ✓ Configure multiple workflows to suit broker-dealer needs
- ✓ Full transparency into each client's transition progress
- ✓ All-inclusive, end-to-end solution that covers every aspect of the transition process



SCHEDULE A DEMO

www.ipipeline.com | 800.758.0824

